FPAS
FINANCIAL PLANNING
Association Of Singapore

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AFP℠/AWP℠ Certification Application

This form must be completed and returned with the required certification fee in order to be certified to use the AFP℠ / AWP℠ certification mark and the marks AFP℠ / AWP℠.

Certification No.

Please indicate which certification/s: ☐ **AFP℠** ☐ **AWP℠**

Member No: **Name:**

NRIC: **Sex:**

Home Address: **Business Address:** **Mailing Address:**

Email: **Company Name:** ____________________

Tel (H): **Tel (0):** **Mobile:**

Our records indicate the following:

In this section, if our information is incorrect, please circle ***one*** of the following as apply:

1. Your primary business activity is:

Personal Financial Planning Accounting Banking
Education Government Human Resources
Insurance Law Real Estate
Securities Tax Preparation/Advice
Other ____________________

2. Highest qualification you hold:

0 Level A Level Diploma Bachelors Masters Doctorate

In this section, if our information is incorrect, please circle ***as many*** of the following as apply:

3. Designations:

CFP® AFP™ ChFC CLU CFA CPA Other ____________

4. Current Licence(s):

Attorney CPA Insurance Real Estate Securities
Other ____________________

5. Current Memberships:

Law Society of Singapore CFA Institute ASCLU/ChFC ICPAS
Other ____________________

6. Investment Advisor Status:

Are you registered as an individual, and/or are you associated with a firm that is registered, as an investment advisor with the Monetary Authority of Singapore? Yes ☐ No ☐

7. Name of Securities Broker/Dealer, if any: ____________________

PLEASE TURN TO THE REVERSE SIDE

Both sides of this certification application form must be completed and the form returned with your payment, declaration and AFP℠ / AWP℠ certification agreement

Personal Financial Planning Practitioner Status

8. ***Do you* perform currently and routinely at least two activities listed in the bullet form below employing the financial planning process** (i.e. data gathering, goal setting, identification of financial issues, preparation of alternatives and recommendations, implementation of client decisions from among the alternatives and periodic review and revision of the financial plan) in working with the clients for compensation:-

- **Personal financial statement preparation and analysis** (including cash flow analysis, planning and management)
- **Investment planning** (including, not limited to porfolio design, asset allocation, and portfolio management)
- **Estate planning?**
- **Income tax planning?**
- **Education planning ?**
- **Insurance planning and risk management?**
- **Retirement planning?**

If you answer "yes" to question 8, you will be considered to be a personal financial planning practitioner as defined by FPAS' Professional Standards and Code of Ethics. Accordingly, you will be included in FPAS' Directory of AFP^{CM}/AWP^{CM} Practitioners and you may, therefore, identify yourself to the public as an "AFP^{CM}/AWP^{CM} Practitioner". Your practitioner status will be shared with members of the public who may be interested in such information.

Please circle one: **YES** **NO**

Occasionally, professional organizations, employers and members of the media request list of persons who meet certain criteria. Unless you have advise FPAS in writing that you do not want your name provided in response to such request, FPAS may, at its discretion, provide this information to these parties. You may request a form for this purpose from FPAS.

Financial Planning Association of Singapore Authority

FPAS' authority and obligation to grant, deny and revoke the right to use the marks and promulgate standards of practice stems from its ownership of the AFP^{CM}/AWP^{CM} certification mark and the marks AFP^{CM}/AWP^{CM} and Associate Financial Planner/Associate Wealth Planner (collectively referred to herein as "the marks"). By promulgating ongoing professional standards for holders of the marks, FPAS helps to assure the public that persons using these marks in the course of their business or occupation have not only met stringent initial certification requirement, but have also continued to maintain appropriate standards of conduct and practise that distinguish them from others who would represent themselves as financial planners.